

## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO. **FILING DATE** FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 09/825,533 04/02/2001 Michael R. Hufford IVQ-002 9781 959 7590 10/07/2005 **EXAMINER** LAHIVE & COCKFIELD, LLP. GOTTSCHALK, MARTIN A 28 STATE STREET ART UNIT PAPER NUMBER BOSTON, MA 02109 3626

DATE MAILED: 10/07/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

$\mathcal{U}_{\mathcal{L}}$			b
	Application No.	Applicant(s)	
Office Action Summary	09/825,533	HUFFORD ET AL.	
	Examiner	Art Unit	
The MAIL INC DATE of this account in the	Martin A. Gottschalk	3626	
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply			
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 1 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a repty be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).			
Status			
1)⊠ Responsive to communication(s) filed on <u>02 Ar</u>	nril 2001		
2a) ☐ This action is <b>FINAL</b> . 2b) ☒ This action is non-final.			
3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is			
closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.			
Disposition of Claims	•		
4)⊠ Claim(s) <u>1-53</u> is/are pending in the application.			
4a) Of the above claim(s) is/are withdrawn from consideration.			
5) Claim(s) is/are allowed.			
6) Claim(s) is/are rejected.			
7) Claim(s) is/are objected to.			
8) Claim(s) 1-53 are subject to restriction and/or election requirement.			
Application Papers			
9) The specification is objected to by the Examiner.			
10) ☐ The drawing(s) filed on is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.			
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).			
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).			
11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.			
Priority under 35 U.S.C. § 119			
12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).			
a) All b) Some * c) None of:  1. Certified copies of the priority documents have been received.			
<ul> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No</li> </ul>			
Copies of the certified copies of the priority documents have been received in this National Stage			
application from the International Bureau (PCT Rule 17.2(a)).			
* See the attached detailed Office action for a list of the certified copies not received.			
•			
. Attachment(s)		·	
1) Notice of References Cited (PTO-892)	4) Interview Summary	(PTO-413)	
2) Notice of Draftsperson's Patent Drawing Review (PTO-948) Paper No(s)/Mail Date			
3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Paper No(s)/Mail Date	5)  Notice of Informal P 6)  Other:	atent Application (PT	J-152)
I S. Patent and Trademark Office			

PTOL-326 (Rev. 7-05)

Application/Control Number: 09/825,533 Page 2

Art Unit: 3626

## **DETAILED ACTION**

## Election/Restrictions

- 1. Restriction to one of the following inventions is required under 35 U.S.C. 121:
  - Claims 1-3 and 47, drawn to a method and medium for use in an electronic device having instructions for execution on the electronic device, for identifying a clinical trial target, classified in class 600, subclass 300.
  - II. Claims 4-30 and 48-52, drawn to a method and medium for use in an electronic device having instructions for execution on the electronic device, for affecting subject compliance, classified in class 705, subclass 2.
  - III. Claims 31-46 and 53, drawn to a method, electronic device, and a medium for use in an electronic device having instructions for execution on the electronic device, for monitoring subject compliance in a clinical trial, classified in class 340, subclass 500.
- 2. The inventions are distinct, each from the other because of the following reasons:

Inventions I, II, and III are related as subcombinations disclosed as usable together in a single combination. The subcombinations are distinct from each other if they are shown to be separately usable. In the instant case, invention I has separate utility as a method for diagnosis; invention II has separate utility such as a system for health care management; and invention III has separate utility such as a system for indicating a condition requiring a response. See MPEP § 806.05(d).

Page 3

- 3. Because these inventions are distinct for the reasons given above and the search required for each group is not required for the other groups, and have acquired a separate status in the art because of their recognized divergent subject matter, restriction for examination purposes as indicated is proper.
- 4. Applicant is advised that the reply to this requirement to be complete must include an election of the invention to be examined even though the requirement be traversed (37 CFR 1.143).
- Applicant is reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be amended in compliance with 37 CFR 1.48(b) if one or more of the currently named inventors is no longer an inventor of at least one claim remaining in the application. Any amendment of inventorship must be accompanied by a diligently-filed petition under 37 CFR 1.48(b) and by the fee required under 37 CFR 1.17(h).

Application/Control Number: 09/825,533

Art Unit: 3626

Conclusion

Page 4

6. Any inquiry concerning this communication or earlier communications from the

examiner should be directed to Martin A. Gottschalk whose telephone number is (571)

272-7030. The examiner can normally be reached on Mon - Fri 8:30 - 5.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, Joseph Thomas can be reached on (571) 272-6776. The fax phone number

for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the

Patent Application Information Retrieval (PAIR) system. Status information for

published applications may be obtained from either Private PAIR or Public PAIR.

Status information for unpublished applications is available through Private PAIR only.

For more information about the PAIR system, see http://pair-direct.uspto.gov. Should

you have questions on access to the Private PAIR system, contact the Electronic

Business Center (EBC) at 866-217-9197 (toll-free).

MG

09/22/05

JOSEPH'THOMAS

**SUPERVISORY PATENT EXAMINER** 

**TECHNOLOGY CENTER 3600**